



PLEASE NOTE: This checklist is provided as a guide. The carrier may require additional items and documentation. Please refer to the carrier's underwriting guidelines for a complete list of requirements. Please use the latest version of forms.

Our goal is to process your new group enrollment easily and efficiently in order to provide you and your client with a quick approval. The following list outlines the health plan's case submission requests:

- ☐ Groups applying for a 1st-of-the-month effective date must be submitted to Health Net by the 5th of the month. Paperwork must be completed by the 20th of the month; otherwise, the group will be rolled to the following month.
- ☐ A signed original application for Group Service Agreement (GSA)/Group Policy
- ☐ A complete employee application for each eligible employee enrolling/waiving coverage
- ☐ A check or an Electronic Check form for the first month's premium drawn from the group account
- ☐ The latest quarter DE-9C, reconciled:
 - If the group has not been in business long enough to have a DE-9C, six weeks of payroll, including withholdings, may be submitted.
 - 2-week payroll is required for all employees that don't appear on the current DE-9C.
 - For wages exceeding part-time and wages below full-time status, payroll will be required.
 - To reconcile the DE-9C, please indicate next to each employee's name one of the following:

T – Terminated (including termination date)	E – Eligible and enrolling
W – Eligible and waiving coverage	S – Seasonal
WP – Waiting period (include date of hire for those in waiting period)	TEMP – Temporary employees
PT – Part-time	Covered by another carrier – add carrier name.
- ☐ Ownership paperwork (required if owner/partners' names do not appear on the DE-9C or payroll records). Must list each person's first and last name. Paperwork must be filed with the state or county. Documentation may include:
 - For sole proprietor:
 - California Business License
 - Fictitious Business Name Statement
 - Schedule C Tax Form
 - For partnership:
 - California Business License (showing both names)
 - Fictitious Business Name Statement (showing both names)
 - Schedule K Tax Form (for all eligible owners)
 - Tax certificate (showing both names)
 - For corporation:
 - Articles of Incorporation
 - Statement of Information
 - Tax Form 1120

Note: Please consult your sales representative for acceptable ownership documentation for other business structures.

For PPO and EnhancedCare PPO plans:

- ☐ Copies of EOBs for employees requesting Deductible Credit from prior carrier

After approval, prior carrier termination letter must be submitted by the employer or broker.

Important Reminder: To help your client comply with ACA requirements, provide a copy of the appropriate Summary of Benefits and Coverage (SBC) to each employee at the Enrollment Meeting, via email or by posting on an internal company website. For the most recent information regarding Health Net's SBCs, contact your Word & Brown representative.