



NOTE: This checklist is provided as a guide. The carrier may require additional items and documentation. Please refer to the carrier's underwriting guidelines for a complete list of requirements. Please use the latest version of forms.

Our goal is to process your new group enrollment easily and efficiently in order to provide you and your client with a quick approval. The following list outlines the health plan's case submission requests:

- ☐ A copy of agent's quote (based on final enrollment)
- ☐ The most current *Small Group Employer Application*
- ☐ The most current applications from all employees enrolling
- ☐ Waivers from all employees not electing coverage
- ☐ Copy of company's most recent Quarterly Wage Report
 - Indicate on the document current employment status for each employee listed; example full-time, part-time, or terminated
 - Payroll may be required for new hires not listed on the Quarterly Wage Report
 - An Eligibility Statement will be required for any officers/owners not on the Quarterly Wage Report. See California Underwriting Business Requirements for Sole Proprietors, Partners or Corporate Officers not appearing on the Quarterly Wage Report
 - A copy of the prior carrier's last month's group premium statement
- ☐ An Anthem Attestation form will be accepted in lieu of a DE9C/Prior Carrier bill, when:
 1. The group has prior coverage, 25 or more enrolled, and
 2. The group is coming out one of the below types of large or multiple employer group scenarios:
 - Association plan
 - Trust plan
 - Non-renewed in large group due to a company size reduction
 - Spin off from a large employer group
- ☐ COBRA/FMLA/Cal-Cobra questionnaire; the last billing statement listing COBRA/Cal-Cobra subscribers
- ☐ First month's premium
 - A completed Electronic Enrollment Debit payment form for 100% of the first month's premium
 - If electronic debit is not agreed to, a company check may be accepted, subject to additional processing time
- ☐ HSA Questionnaire required when the group is offering HSA- compatible plans and would like Anthem to administer the HSA bank account
- ☐ Completed Conditions of Enrollment Form for Start-Up Companies/PEO Spin-Off Groups. Payroll and additional business validation documentation may be required. Please refer to the underwriting guidelines
- ☐ Completed Anthem CA Enrollment Compliance Form for groups of 3+ Enrolling. Additional business validation may be required. Please refer to the underwriting guidelines

- ☐ If applicable, include a completed Premium Only Plan (POP) enrollment form and a separate check in the amount of \$125 payable to Anthem Blue Cross
- ☐ Anthem will accept new group submissions for the following effective dates:
 - 1st of the month — submit by the 5th working day of the month
 - 15th of the month — submit by the 12th calendar day of the month

OPTIONAL:

If group is using a COBRA TPA, please include a letter on group letterhead signed by the owner/officer requesting to add the vendor to the account.

After approval, prior carrier termination letter must be submitted by the employer or broker.

Important Reminder: To help your client comply with ACA requirements, provide a copy of the appropriate Summary of Benefits and Coverage (SBC) to each employee at the Enrollment Meeting, via email or by posting on an internal company website. For the most recent information regarding Anthem's SBCs, contact your Word & Brown Representative.